

## General Assembly

## **Amendment**

January Session, 2009

LCO No. 7194

\*SB0095307194SR0\*

Offered by:

SEN. MCKINNEY, 28<sup>th</sup> Dist. SEN. FASANO, 34<sup>th</sup> Dist. SEN. RORABACK, 30<sup>th</sup> Dist.

To: Subst. Senate Bill No. **953** File No. 171

Cal. No. 180

## "AN ACT CONCERNING HEDGE FUNDS."

- After the last section, add the following and renumber sections and internal references accordingly:
- 3 "Sec. 501. (NEW) (*Effective from passage*) (a) There is established a
- 4 Bipartisan Senate Committee on Standards of Official Conduct that
- 5 shall consist of six members, appointed as follows: Three majority
- 6 caucus senators by the president pro tempore of the Senate, and three
- 7 minority caucus senators by the president pro tempore of the Senate
- 8 upon the recommendation of the Senate minority leader. The president
- 9 pro tempore of the Senate shall appoint two cochairpersons of the
- 10 committee, one of whom shall be appointed upon the recommendation
- of the Senate minority leader. Such appointments shall be made not
- 12 later than ten days after the effective date of this section.
- 13 (b) Said committee shall have cognizance of any official Senate 14 action in response to any misconduct by any member of the Senate.

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"Misconduct" shall include any violation of state or federal election laws, any violation of the state code of ethics, including, but not limited to, a violation of the conflict of interest provision contained in subsection (a) of section 1-84 of the general statutes, any misuse of state property, including the submission of a false claim for compensation or reimbursement, any legal or ethical wrong or other conduct that materially impairs the ability of the member to perform the duties of his or her office, or any conduct that impugns the integrity of the Senate or undermines public trust and confidence in the Senate. Nothing in this rule shall be construed to affect the powers and duties of the Office of State Ethics or the Citizen's Ethics Advisory Board.

- (c) A quorum, consisting of not less than two members of each caucus, shall be required to conduct any official business of the committee. All committee decisions shall require four affirmative votes.
- (d) Upon the complaint of any person, signed under penalty of false statement, or upon its own complaint, the committee shall conduct a preliminary investigation into claims of any misconduct by any member of the Senate. Any committee member who is the subject of such a complaint shall recuse himself or herself from any committee action pertaining to such complaint. Such member shall be replaced, for purposes of committee action on such complaint, in the same manner in which such member was appointed.
- (e) Any such preliminary investigation shall be confidential and not subject to disclosure. Upon the conclusion of any preliminary investigation, the committee shall vote to either (1) dismiss the complaint, or (2) make a finding of probable cause that misconduct has occurred. Upon a finding of probable cause by the committee, all information relating to the preliminary investigation shall, to the extent allowed by state and federal law, be made available to the public.

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(f) The committee shall undertake a full investigation only after a finding of probable cause. All information relating to a full investigation shall, to the extent allowed by state and federal law, be made available to the public. All proceedings relating to a full investigation shall be open to the public. Upon the completion of a full investigation, the committee shall report its findings recommendation in the form of a resolution to be voted upon by the Senate. Such recommendation shall include one of the following: (1) Expulsion, (2) censure, (3) reprimand, or (4) no action. The full Senate shall vote on any such resolution not later than ten days after the committee reports out any such resolution.

- (g) The subject of any such complaint or investigation may be represented by counsel.
- (h) Not later than ninety days after the effective date of this section, the committee shall adopt rules and procedures to govern its proceedings. Such proposed rules shall include, but not be limited to: (1) Standards, rules and methodology for conducting preliminary and full investigations, respectively, and (2) criteria for the making of a recommendation of expulsion, censure, reprimand and no action, respectively."

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